

Atty.
Dkt. No.

056707-5001-01

Applicants: GLENN et al.

Appln. No. 10/790,715

Filing Date: March 3, 2004

Examiner: Not Assigned Group Art Unit. 1645

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Page 1 of 1

Examiner's Initials*	Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
UH	aa 3,837,340	09/1974	COUNTER			
	ab 3,948,263	04/1976	DRAKE			
	ac 3,982,536	09/1976	KROGSSENG			
	ad 4,196,191	04/1980	ALMEIDA			
	ae 4,220,584	08/1980	LIMJUCO			
	af 4,235,871	11/1980	PAPAHADJOPOULOS			
	ag 4,285,931	08/1981	LIMJUCO			
	ah 4,394,448	07/1983	SZOKA			
	ai 4,411,888	10/1983	KLIPSTEIN			
	aj 4,455,142	06/1984	MARTINS			
	ak 4,484,923	11/1984	AMKRAUT			
	al 4,497,796	02/1985	SALSER			
	am 4,587,044	05/1986	MILLER			
	an 4,692,462	09/1987	BANERJEE			
	ao 4,725,271	02/1988	KOROL			
	ap 4,732,892	03/1988	SARPOTDAR			
	aq 4,743,588	05/1988	MIREJOVSKY			
	ar 4,761,372	08/1988	MAAS			
	as 4,764,381	08/1988	BODOR			
	at 4,783,450	11/1988	FAWZI			
	au 4,834,985	05/1989	ELGER			
	av 4,876,278	10/1989	TAYLOR			
	aw 4,877,612	10/1989	BERGER			
	ax 4,892,737	01/1990	BODOR			
	ay 4,908,389	03/1990	MAHJOUR			
UH	az 4,917,688	04/1990	NELSON			

Document Number	Date MM/YYYY	Country	Inventor Name	Class	SubClass	English Abstract	Translation Readily Available
						Enclosed	No
						Enclose	No

OTHER INFORMATION IN THIS ORDER AND THE PERIODICAL NAME PAGE PERIODICAL PAGES	

Examiner *gjs* Date Considered: 1/12/06

*EXAMINER: Initial citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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ljk	ba 4,917,895	04/1990	LEE			
	bb 4,921,757	05/1990	WHEATLEY			
	bc 4,929,442	05/1990	POWELL			
	bd 4,946,853	08/1990	BANNON			
	be 4,958,171	09/1990	CHANG			
	bf 4,960,771	10/1990	RAJADHYAKSHA			
	bg 4,970,208	11/1990	ALEXANDER			
	bh 5,008,050	04/1991	CULLIS			
	bi 5,008,111	04/1991	BODOR			
	bj 5,023,252	06/1991	HSIEH			
	bk 5,028,435	07/1991	KATZ			
	bl 5,030,629	07/1991	RAJADHYAKSHA			
	bm 5,032,402	07/1991	DIGENIS			
	bh 5,041,439	08/1991	KASTING			
	bo 5,045,317	09/1991	CHESS			
	bp 5,049,386	09/1991	EPPSTEIN			
	bq 5,049,387	09/1991	AMKRAUT			
	br 5,059,189	10/1991	CILENTO			
	bs 5,059,421	10/1991	LOUGHREY			
	bt 5,089,904	12/1991	MASTERSON			
	bu 5,082,868	01/1992	WONG			
	bv 5,108,921	04/1992	LOW			
	bw 5,142,044	08/1992	MINASKANIAN			
ljk	bx 5,162,315	11/1992	RAJADHYAKSHA			
	by 5,164,406	11/1992	HELMAN			

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FORM PTO-1449 (modified)
To: U.S. Department of Commerce
(PM&S FORM PAT-1449)
Patent and Trademark Office

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WJ	ca	5,166,320	11/1992	WU			
	cb	5,169,637	12/1982	LENK			
	cc	5,182,109	01/1993	TAMURA			
	cd	5,196,410	03/1983	FRANCOEUR			
	ce	5,200,393	04/1993	WEINER			
	cf	5,204,339	04/1993	MINASKANIAN			
	cg	5,215,520	08/1993	SHROOT			
	ch	5,225,182	07/1993	SHARMA			
	ci	5,232,935	08/1993	COLAS			
	cj	5,234,959	08/1993	MINASKANIAN			
	ck	5,238,944	08/1993	WICK			
	cl	5,240,846	08/1993	COLLINS			
	cm	5,252,334	10/1993	CHIANG			
	cn	5,256,422	10/1993	ALBERT			
	co	5,260,066	11/1993	WOOD			
	cp	5,270,346	12/1993	MINASKANIAN			
	cq	5,308,835	05/1994	CLEMENTS			
	cr	5,326,566	07/1994	PARAB			
	cs	5,326,780	07/1994	THORNFELDT			
	ct	5,328,470	07/1994	NABEL			
WJ	cu	5,332,576	07/1994	MANTELLE			
	cv	5,332,577	07/1994	GERTNER			
	cw	5,340,588	08/1994	DOMB			
	cx	5,352,449	10/1994	BELTZ			
	cy	5,399,346	03/1995	ANDERSON			
	cz	5,411,738	05/1995	HIND			

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		Document Number	Date MM/YYYY	Country	Inventor Name	Class	SubClass	Enclosed	No	Enclose	No

Citation Information: Author, Title, Periodical Name, Date, Reprint Page, etc.											

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UK	da 5,428,132	06/1995	HIRSCH			
	db 5,445,611	08/1995	EPPSTEIN			
	dc 5,458,140	10/1995	EPPSTEIN			
	dd 5,462,743	10/1995	TURNER			
	de 5,464,386	11/1995	HOFMANN			
	df 5,472,946	12/1995	PECK			
	dg 5,482,965	01/1996	RAJADHYAKSHA			
	dh 5,492,698	02/1996	VON KLEINSORGEN			
	di 5,505,956	04/1996	KIM			
	dj 5,505,958	04/1996	BELLO			
	dk 5,533,995	07/1996	CORISH			
	dl 5,534,260	07/1996	PETERSEN			
	dm 5,536,263	07/1996	ROLF			
	dn 5,540,931	07/1996	HEWITT			
	do 5,547,932	08/1996	CURIEL			
	dp 5,573,778	11/1996	TERRIAULT			
	dq 5,578,475	11/1996	JESSEE			
	dr 5,580,859	12/1996	FELGNER			
	ds 5,589,466	12/1996	FELGNER			
	dt 5,593,972	01/1997	WEINER			
	du 5,601,827	02/1997	COLLIER			
	dv 5,607,691	03/1997	HALE			
	dw 5,612,382	03/1997	FIKE			
	dx 5,614,212	03/1997	D'ANGELO			
UK	dy 5,614,503	03/1997	CHAUDHARY			
	dz 5,620,896	04/1997	HERMANN			

Document Number	Date MM/YYYY	Country	Inventor Name	Class	SubClass	English Abstract	Translation Readily Available
						Enclosed	No
						Enclose	No

Other references: (List in order: Author, Title, Periodical Name, Date, Referred Pages, etc.)

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Examiner's Initials*	Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
UP	ea 5,626,866	05/1997	EBERT			
	eb 5,643,578	07/1997	ROBINSON			
	ec 5,658,587	08/1997	SANTUS			
	ed 5,661,025	08/1997	SZOKA			
	ee 5,661,130	08/1997	MEEZAN			
	ef 5,674,503	10/1997	OLAFSON			
	eg 5,678,954	10/1997	BRIGHAM			
	eh 5,679,647	10/1997	CARSON			
	ei 5,686,100	11/1997	WILLE			
	ej 5,693,024	12/1997	FLOWER			
	ek 5,693,622	12/1997	WOLFF			
	el 5,695,991	12/1997	LINDHOLM			
	em 5,697,901	12/1997	ERIKSSON			
	en 5,698,416	12/1997	WOLF			
	ep 5,703,057	12/1997	JOHNSTON			
	ep 5,705,151	01/1998	DOW			
	eq 5,718,914	02/1998	FOLDVARI			
	er 5,720,948	02/1998	BRUCKS			
	es 5,722,397	03/1998	EPPSTEIN			
	et 5,723,114	03/1998	THORNFELDT			
	eu 5,731,303	03/1998	HSIEH			
	ev 5,733,572	03/1998	UNGER			
	ew 5,733,762	03/1998	MIDOUX			
	ex 5,736,154	04/1998	FUISZ			
UK	ey 5,736,392	04/1998	HAWLEY-NELSON			
	ez 5,736,524	04/1998	CONTENT			

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						Enclose	No

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OK	fa 5,738,647	04/1998	BERNHARD			
	fb 5,739,118	04/1998	CARRANO			
	fc 5,741,510	04/1998	ROLF			
	fd 5,756,117	05/1998	D'ANGELO			
	fe 5,760,096	06/1998	THORNFELDT			
	ff 5,766,899	08/1998	KUO			
	fg 5,770,580	06/1998	LEDLEY			
	fh 5,773,022	08/1998	NYQVIST-MAYER			
	fi 5,780,050	07/1998	JAIN			
	fj 5,783,567	07/1998	HEDLEY			
	fk 5,789,230	08/1998	COTTON			
	fl 5,804,214	09/1998	WONG			
	fm 5,804,566	09/1998	CARSON			
	fn 5,811,406	09/1998	SZOKA			
	fo 5,814,599	09/1998	MITRAGOTRI			
	fp 5,814,617	09/1998	HOFFMAN			
	fq 5,824,538	10/1998	BRANSTROM			
	fr 5,827,703	10/1998	DEBS			
	fs 5,827,705	10/1998	DEAN			
	ft 5,830,876	11/1998	WEINER			
	fu 5,830,877	11/1998	CARSON			
	fv 5,834,010	11/1998	QUAN			
	fw 5,837,289	11/1998	GRASELA			
	fx 5,837,533	11/1998	BOUTIN			
OK	fy 5,840,059	11/1998	MARCH			
	fz 5,843,913	12/1998	LI			

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						Enclosed	No
						Enclose	No

OTHER: (Including prior art references, prior art, references, etc.)

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Examiner's Initials*		Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
UK	ga	5,844,107	12/1998	HANSON			
	gb	5,846,540	12/1998	RESTIFO			
	gc	5,846,949	12/1998	WAGNER			
	gd	5,849,719	12/1998	CARSON			
	ge	5,853,751	12/1998	MASIZ			
	gf	5,856,187	01/1999	RESTIFO			
	gg	5,858,784	01/1999	DEBS			
	gh	5,868,553	02/1999	DONNELLY			
	gi	5,877,159	03/1999	POWELL			
	gj	5,877,302	03/1999	HANSON			
	gk	5,885,971	03/1999	GERMAN			
	gl	5,910,306	06/1999	ALVING			
	gm	5,910,488	06/1999	NABEL			
	gn	5,914,114	06/1999	CASELS			
	go	5,916,879	06/1999	WEBSTER			
	gp	5,935,838	08/1999	ASKELOEF			
	gq	5,961,979	10/1999	SRIVASTAVA			
	gr	5,980,898	11/1999	GLENN			
	gs	5,985,847	11/1999	CARSON			
	gt	6,008,200	12/1999	KRIEG			
UK	gu	6,039,969	03/2000	TOMAI			
	gv	6,087,341	07/2000	KHAVARI			
	gw	6,173,202	01/2001	EPPSTEIN			
	gx	6,180,136	01/2001	LARSON			
UK	gy	6,183,434	02/2001	EPPSTEIN			
	gz	6,210,672	04/2001	COWING			

FOREIGN PATENT DOCUMENTS								English Abstract		Translation Readily Available	
		Document Number	Date MM/YYYY	Country	Inventor Name	Class	SubClass	Enclosed	No	Enclose	No

OTHER INFORMATION (e.g., Author's Name, Periodical Name, Date, Reprint Page, etc.)											

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U.S. PATENT DOCUMENTS

EXHIBENT INTERVIEW

		Document Number	Date MM/YYYY	Country	Inventor Name	Class	SubClass	Abstract		Ready Available	
								Enclosed	No	Enclose	No

[illegible]

CPK	ia	ALLISON "Hydrogen bonding between sugar and protein is responsible for inhibition of dehydration-induced protein unfolding" Arch Biochem Biophys 365 (1999) 289-298
	ib	ALLISON "Optimization of storage stability of lyophilized actin using combinations of disaccharides and dextran" J Pharm Sci 89 (2000) 199-214
	ic	ANDYA "The effect of formulation excipients on protein stability and aerosol performance of spray-dried powders of a recombinant humanized anti-IgE monoclonal antibody" Pharm Res 16 (1999) 350-358
	id	ARAKAWA "Protein-solvent interactions in pharmaceutical formulations" Pharma Res 8 (1991) 285-291
	ie	ARANY "Correlation between pretreatment levels of Interferon response genes and clinical responses to an immune response modifier (imiquimod) in genital warts" Antimicrob Agents Chemother 44 (2000) 1869-1873
	if	ALVING "Effectiveness of liposomes as potential carriers of vaccines: Applications to cholera toxin and human malaria sporozoite antigen" Vaccine 4 (1986) 166-172
	ig	ALVING "Liposomes as carriers of antigens and adjuvants" J Immunol Methods 140 (1991) 1-13
	ih	ALVING "Lipopolysaccharide, lipid A, and liposomes containing lipid A as immunologic adjuvants" Immunobiology 187 (1993) 430-446
	ii	ALVING "Novel adjuvant strategies for experimental malaria and AIDS vaccines" Ann NY Acad Sci 690 (1993) 265-275
	ij	ALVING "The preparation and use of liposomes in immunological studies" In: <i>Liposome Technology</i> , vol. 3, CRC Press (1993) pp. 317-343
	ik	ALVING "Cytotoxic T lymphocytes induced by liposomal antigens: Mechanisms of immunological presentation" AIDS Res Hum Retroviruses 10 suppl 2 (1994) S91-S94
	il	ALVING "Liposomes as carriers of peptide antigens: induction of antibodies and cytotoxic T lymphocytes to conjugated and unconjugated peptides" Immunol Rev 145 (1995) 5-31
	im	BECKER "Mechanism in allergic contact dermatitis" Exp Dermatol 2 (1993) 63-69
	in	BIRCH "Trehaloses" Adv Carb Chem Biochem 18 (1963) 201-2225
	io	BLAUVELT "Human Langerhans cells express E-cadherin" J Invest Dermatol 104 (1995) 293-296
VR	ip	BOS "The 500 dalton rule for the skin penetration of chemical compounds and drugs" Exp Dermatol 9 (2000) 165-169

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FOREIGN PATENT DOCUMENTS							English Abstract		Translation Readily Available	
	Document Number	Date MM/YYYY	Country	Inventor Name	Class	SubClass	Enclosed	No	Enclose	No

OTHER (including articles, books, newspapers, periodicals, etc.)

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jb	BOWEN "Cholera toxin acts as a potent adjuvant for the induction of cytotoxic T-lymphocyte responses with non-replicating antigens" Immunol 81 (1994) 338-342
jc	BUATES "Treatment of experimental Leishmaniasis with the immunomodulators Imiquimod and S-28463: Efficacy and mode of action" J Infect Dis 179 (1999) 1485-1494
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jk	EGBARIA "Liposomes as topical drug delivery system" Adv Drug Delivery Rev 5 (1990) 287-300
jl	ENK "An essential role for Langerhans cell-derived IL-1 beta in the initiation of primary immune responses in skin" J Immunol 151 (1993) 2390-2398
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jn	FLEISHER "Topical delivery of growth hormone releasing peptide using liposomal systems: An in vitro study using hairless mouse skin" Life Sci 57 (1995) 1293-1297
jo	FRANK "Long-term stabilization of biologicals" Bio/Technology 12 (1994) 253-256

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OTHER PATENT DOCUMENTS							
		Document Number	Date MM/YYYY	Country	Inventor Name	Class	SubClass
						English Abstract	Translation Readily Available
						Enclosed	No
						Enclose	No
OTHER PUBLICATIONS							
UK	ka	GEKKO "Mechanism of protein stabilization by glycerol: Preferential hydration in glycerol-water mixtures" <i>Biochemistry</i> 20 (1981) 4667-4676					
	kb	GLENN "Murine IgG subclass antibodies to antigens incorporated in liposomes containing lipid A" <i>Immunol Lett</i> 47 (1995) 73-78					
	kc	GLENN "Skin immunization made possible by cholera toxin" <i>Nature</i> 391 (1998) 851					
	kd	GLENN "Transcutaneous immunization with cholera toxin protects mice against lethal mucosal toxin challenge" <i>J Immunol</i> 161 (1998) 3211-3214					
	ke	GLENN "Transcutaneous immunization with bacterial ADP-ribosylating exotoxins as antigens and adjuvants" <i>Infect Immun</i> 67 (1999) 1100-1106					
	kf	GLENN "Transcutaneous immunisation" <i>Exp Opin Invest Drugs</i> 8 (1999) 797-805					
	kg	GLENN "Transcutaneous immunization" In: <i>The Jordan Report 2000</i> , NIAID, pp. 91-93					
	kh	GLENN "Transcutaneous immunization: A human vaccine delivery strategy using a patch" <i>Nature Med</i> 6 (2000) 1403-1406					
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	kj	GLENN "Transcutaneous immunization" In: <i>New Vaccine Technologies</i> , Landes Biosciences (June 2001) pp. 292-304					
	kk	GOODNOW "Chance encounters and organized rendezvous" <i>Immunol Rev</i> 156 (1997) 5-10					
	kl	GUPTA "Adjuvants for human vaccines - current status, problems and future prospects" <i>Vaccine</i> 13 (1995) 1263-1276					
	km	HAMMOND "Transcutaneous immunization of domestic animals: Opportunities and challenges" <i>Adv Drug Delivery Rev</i> 43 (2000) 45-55					
	kn	HAMMOND "Transcutaneous immunization: T cell responses and boosting of existing immunity" <i>Vaccine</i> 19 (2001) 2701-2707					
	ko	HANSON "Introduction to formulation of protein pharmaceuticals" In: <i>Stability of Protein Pharmaceuticals</i> , Plenum (1992) pp. 209-233					
UK	kp	HOELZLE "Increased accumulation of trehalose in rhizobia cultured under 1% oxygen" <i>Appl Environ Microbiol</i> 56 (1990) 3213-3215					
Examiner: <i>[Signature]</i> Date Considered: 11/12/06							
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Document Number	Date MM/YYYY	Country	Inventor Name	Class	SubClass	English Abstract	Translation Readily Available
						Enclosed No	Enclose No

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Atty.
Okd. No.

056707-5001-01

**INFORMATION DISCLOSURE STATEMENT
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Applicants: GLENN et al.

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Atty.
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056707-5001-01

Applicants: GLENN et al.

Appln. No. 10/790,715

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		Document Number	Date MM/YYYY	Country	Inventor Name	Class	SubClass	Enclosed	No	Enclose	No

Other individuals who have contributed to the invention, including those who have contributed to the invention, should be listed in the Remarks section of the application.

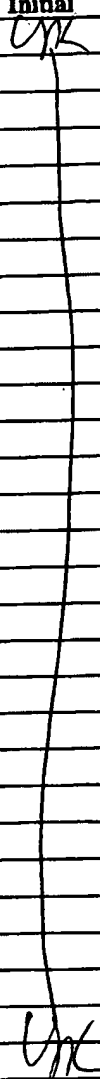
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no	WHITE "Antibody and cytotoxic T-lymphocyte responses to a single liposome associated peptide antigen" Vaccine 13 (1995) 1111-1122
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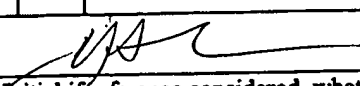
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INFORMATION DISCLOSURE STATEMENT				Attorney Docket No. 056707-5001-01		Parent Application No. 10/790,715	
(Use several sheets if necessary) PTO Form 1449				Applicants: Gregory M Glenn <i>et al.</i>			
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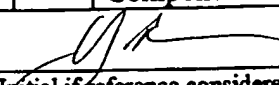
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